

# **2005-06 Consolidated Grants Program**

## **Full Proposal**

### **Applicant Assistance Workshops**



### **State Water Resources Control Board Staff**

<b>April 17, 2006</b>	<b>Santa Rosa</b>	<b>April 25, 2006</b>	<b>Los Angeles</b>
<b>April 18, 2006</b>	<b>San Luis Obispo</b>	<b>April 27, 2006</b>	<b>Oakland</b>
<b>April 21, 2006</b>	<b>Sacramento</b>	<b>April 28, 2006</b>	<b>San Diego</b>
<b>April 24, 2006</b>	<b>Riverside</b>	<b>May 3, 2006</b>	<b>South Lake Tahoe</b>

# **Applicant Workshop Objectives**

- **Provide Update/Feedback on Concept Proposals**
- **Highlight Full Proposal Application and Review Process**
- **Staff available to answer questions**

# Presentation Outline

- General Comments on Concept Proposals
- Grant Programs Funding Update
- Ocean Protection Projects Timeline
- All Other Projects Timeline
- Fund Encumbrance and Project Deadlines
- Eligible Project Types
- Reimbursable Expenses/Education and Outreach
- Match Requirements

# Presentation Outline

(continued)

## ■ Full Proposal

- Logistics
- Overview
- Content
- Evaluation Process

## ■ Sources of Information

## ■ Contact Information

## ■ General Questions and Answers

# General Comments on Concept Proposals

- More than 470 Concept Proposals submitted
- Request approximately \$625 million  
(~400% of available funds)

# General Comments on Concept Proposals (continued)

- **209** Concept Proposals Invited Back:
  - Nonpoint Source Pollution Control (NPSPC) Program – **18**
  - Coastal Nonpoint Source (CNPS) Pollution Control Program – **49**
  - NPS Implementation Program (319[h]) – **18**
  - Agricultural Water Quality Grant Program (AWQGP) – **33** (**18** for Prop 40, **15** for Prop 50)
  - Urban Stormwater Program – **36**
  - Integrated Watershed Management Program (IWMP):  
Planning – **23**, Implementation – **32**

# Grant Programs Funding Update

Program	Amount Invited Back (M)	Funding Available* (M)
NPSPC	\$46.1	\$27.8
CNPS	\$62.3	\$36.2
Ocean Protection Projects	\$18.6	\$10
NPS Implementation (319[h])	\$11.8	\$4
AWQGP (Prop 40)	\$12.5	\$17.4
AWQGP (Prop 50)	\$11.2	
Urban Stormwater	\$20.9	\$14.25
IWMP Planning	\$6.8	\$51.5
IWMP Implementation	\$69.5	
<b>TOTAL</b>	<b>\$259.7</b>	<b>\$161.15</b>
* Includes Proposition 13 funds		

# Ocean Protection Projects (OPPs) Timeline

- Solicitation Notice/Full Proposal Invitations:  
*March 14, 2006*
- Full Proposals Due: *May 9, 2006*
- Recommended Project List to Ocean Protection Council: *June 13, 2006*
- Recommended Project List to State Water Board:  
*July 2006*
- Grant Agreements: *August 2006 forward*



# All Other Projects Timeline

- Solicitation Notice/Full Proposal Invitations:  
*April 7, 2006*
- Full Proposals Due: *June 9, 2006*
- Recommended Project Lists to State Water Board  
and California Bay Delta Authority:  
*September – November 2006*
- Grant Agreements: *September 2006 forward*

# Fund Encumbrance and Project Deadlines

- Proposition 40 funds must be encumbered by December 2006, projects completed by December 2008
- Proposition 50 funds must be encumbered by June 2007/2008, projects completed by June 2009/2010
  - AWQGP: 2007/2009
  - Coastal NPS: 2008/2010
- 319(h) funds must be encumbered by December 2006, projects completed by December 2010

# Eligible Project Types

## ■ IWMP Planning Projects

- Must be a project to develop a local watershed management plan in accordance with Section 79078 of the California Water Code (CWC)

## ■ Implementation Projects

- Capital improvements
- Can include planning and design associated with implementation

## ■ All projects must meet eligible project types identified in Appendix A of the Guidelines

# Reimbursable Expenses/ Education and Outreach

- Only direct costs related to projects eligible for reimbursement
- Timeframe: Only work performed within terms of grant agreement
- Education and outreach is generally not a reimbursable expense; however, education and outreach is reimbursable as:
  - 1) A component of a project funded through the federally funded NPS Implementation Program; or
  - 2) A component of a demonstration project funded through the AWQGP

(Section V.K, Appendix E)

# Match Requirements

- Applicants identify in Project Budget section
- Guidelines: Section III.A and Appendix E
- “Funding match” means funds made available by the applicant from non-State sources
- May include (but not limited to) federal funds, local funds, donated and volunteer services from non-State sources
- State agencies may use State funds and services for match

# Match Requirements

(continued)

- Eligible reimbursable expenses incurred after adoption of Guidelines (January 4, 2006) and prior to project completion date can be applied to the funding match
- Education and outreach is generally not a reimbursable expense; however, education and outreach may be used for match

# Match Requirements

(continued)

- **Match Waived or Reduced for Disadvantaged Communities (submitted in Full Proposal):**
  - **Waiver:** Proposal submitted by a disadvantaged community that directly benefits a disadvantaged community
  - **Reduction:** Proposal submitted that directly benefits a disadvantaged community

# Full Proposal Stage

- **Logistics**
  - **Overview**
  - **Content**
  - **Evaluation Process**
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# Full Proposal: Logistics

- Submitted via Financial Assistance Application Submittal Tool (FAAST)


<https://faast.waterboards.ca.gov>

- Ocean Protection Projects (OPPs):  
Due by 11:59 PM on May 9, 2006
- All other Grant Programs:  
Due by 11:59 PM on June 9, 2006
- FAAST technical support available until 5:00 PM
- 1,000-character limit per text box
- Consult “Application Completeness Checklist”/Full Proposal Solicitation Notice

# Full Proposal: Overview

- Full Proposal structure mirrors Appendix I
- Submittal Requirements in Appendix I-1 of Guidelines
- Evaluation criteria in Appendix I-2 of Guidelines
- See Full Proposal Solicitation Notice for Due Dates, FFAST Information, Application Completeness Checklist, Attachment Requirements, and List of Contacts

# Full Proposal: Content

- UPDATE {
- I. General Information**
  - II. Funding Program**
  - III. Legislative Information**
  - IV. Agency Contacts**
  - V. Cooperating Entities**
- NEW {
- VI. Questionnaire**
  - VII. Attachments**
- 

# I. General Information

- Update as necessary per comments received on Concept Proposal
- Double check Grant Funds Requested, Local Cost Match, and Total Budget subsections

# Budget and Match Example:

**Total Budget: \$1,000,000**

**Local Match: \$250,000 (25% of \$1,000,000)**

**Grant Funds Requested: \$750,000  
(\$1,000,000 - \$250,000)**

## II. Funding Program and

## III. Legislative Information

- Applicant **MUST** select the funding program indicated on Full Proposal Invitation Lists; should already be selected in FFAST application
- Update Legislative Information if necessary
- Tables are provided in FFAST to assist in determining appropriate district(s)

# IV. Agency Contacts and V. Cooperating Entities

- Agency Contacts: people you have contacted regarding development of your proposal (Ex: State Water Board, Regional Water Board, or Partner Agency staff)
- Cooperating Entities: organizations you are working with to plan and/or implement proposed project (Ex: stakeholder, subcontractor, implementing agency, education and outreach, consultant, etc.)

# VI. Questionnaire

- Eligibility
  - General
  - Program-Specific
- Address Comments on Concept Proposal (if applicable)
- Additional Information/General Program Questions
- Pages 69-86 of Guidelines

(Appendix I-1)



# VI. Questionnaire

## *General Eligibility*

### A. Application Eligibility Information

- Identify Applicant's Entity Type
- How minimum match requirement will be met/Disadvantaged Community Status (Appendix D)
- Urban water management plan submitted to DWR, if applicable (Section VI.K)
- Proposed project eligibility (Appendix A)

# VI. Questionnaire

## *General Eligibility (continued)*

### **B. Full Proposal Consistency with Concept Proposal (CP) and Responsiveness**

- How Full Proposal is consistent with CP
- Describe any modifications made since CP submittal and impacts on Scope of Work
- Briefly outline CP reviewer comments that have been incorporated (if applicable); if comments were not incorporated, explain why

# VI. Questionnaire

## *Program-Specific Eligibility*

- C. Eligibility for Accelerated Selection and Contracting Procedure (ASCP)**
- Only for IWMP Implementation projects (Appendix C)
  - Projects must meet requirements of Section 30948 of Public Resources Code (PRC) to qualify
  - How is application eligible for ASCP?
    - Part of a local watershed management plan consistent with Section 79078 of California Water Code (CWC)
    - Project fully permitted and implementation ready
    - Required match or services from Non-State Sources

# VI. Questionnaire

## *Program-Specific Eligibility (continued)*

### **D. Coastal NPS Pollution Control Program Proposals (Prop 50)**

- Provide documentation demonstrating eligibility for this program
- Two groups – OPPs and non-OPPs
- 5% of CNPS funds reserved for projects providing a direct benefit to disadvantaged community (if applicable)

# VI. Questionnaire

## *Program-Specific Eligibility (continued)*

### **E. NPSPC Program Proposals (Prop 40)**

- Provide documentation demonstrating eligibility for NPSPC Program

### **F. NPS Implementation (319[h]) Program Proposals**

- Anticipated pollutant load reductions
- Address TMDL(s)
- CPs invited back to approximately 300% of available funding – may extend over next 2 years


# VI. Questionnaire

## *Program-Specific Eligibility (continued)*

### **G. IWMP Planning Proposals (Prop 40)**

- Proposed plan must address ALL requirements of Section 79078 of CWC (page 37, Appendix C)

# **Additional Information/ General Program Questions**

- **Scope of Work Modifications**
  - **Regulation, Permit, or Order**
  - **Privacy Rights**
  - **Waiver of Related Litigation**
  - **CEQA/NEPA**
  - **Program Preferences**
  - **Modification of River or Stream Channel**
  - **Water Rights**
  - **CALFED ROD**
  - **Alternate Reporting Requirements**
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# VII. Attachments

- See Solicitation Notice for attachment formatting details
- Use naming convention in Section II.B of Solicitation Notice
- Attachments A through R (A through N for OPPs) outlined in “Title and Requirements” table of Solicitation Notice
- Attachment templates available on 2005-06 Consolidated Grants webpage (for attachments D, E, L, O, and Q)



# VII. Attachments

(continued)

- A. Attachment A: Project Description & Objectives
- B. Attachment B: Project Team & Administration
- C. Attachment C: Stakeholder Involvement & Coordination
- D. Attachment D: Financing/Funding Match (if applicable)
  - Exhibit D-1\*

\* Template available on webpage

# VII. Attachments

(continued)

## **E. Attachment E: Cost Estimate/Budget**

- Exhibit I-2\* (page 76) : Activity and Budget Category
- See handout with expanded budget table

## **F. Attachment F: Schedule**

## **G. Attachment G: Scope of Work & Grant Agreement Readiness**

\* Template available on webpage

# VII. Attachments

(continued)

- H. Attachment H: Environmental Justice Needs & Issues (If Applicable)**
- I. Attachment I: Education & Outreach (If Applicable)**
- J. Attachment J: Implementation Proposals Supplemental Submittal Requirements**
  - Does NOT apply to IWMP Planning applicants

# VII. Attachments

(continued)

**K. Attachment K: Funding Program  
Supplemental Submittal Requirements**

- Not applicable to IWMP Planning projects
- Program-specific

**L. Attachment L: Application Completeness  
Checklist\***

- Mark appropriate boxes for updated/completed items

\* Template available on webpage

# VII. Attachments

(continued)

- M. Attachment M: Certificate of Incorporation**
  - Only applicable to Nonprofit Organizations
- N. Attachment N: Exhibit I-1 (If Necessary)**

# VII. Attachments

(continued)

- o. **Attachment O: Requirements for Watershed-Based Plans (If Applicable)**
  - **Only applicable to NPS Implementation (319[h]) Program projects**
  - **Table F-1\***

**\* Template available on webpage**

# VII. Attachments

(continued)

**P. Attachment P: IWMP Planning Proposals  
Supplemental Submittal Requirements  
(If Applicable)**

- Only applicable to IWMP Planning projects
- In lieu of Attachment J

**Q. Attachment Q: State Water Board  
Environmental Clearance Checklist\***

\* Template available on webpage

# Attachments

(continued)

## **R. Attachment R: Eligibility for ASCP (If Applicable)**

- **ONLY applicable to IWMP Implementation projects**



# **Full Proposal: Evaluation Process**

- **Appendix I-2 of Guidelines (pages 87-109)**
- **Six (6) Evaluation Tables (page 87)**
- **Maximum possible score = 118 points**
  - **General Evaluation Criteria: Max Score = 53**
  - **Planning Evaluation Criteria: Max Score = 55**
  - **Implementation Evaluation Criteria:  
Max Score = 55**
  - **Program-Specific Evaluation Criteria:  
Max Score = 10**

# Full Proposal:

## Evaluation Process (continued)

- Anticipated Dates for State Water Board Consideration of Recommended Project Lists:
  - July 2006 – Ocean Protection Projects
  - September 2006 – IWMP, Urban Stormwater, and NPSPC Programs
  - October 2006 – AWQGP, CNPS, and NPS Implementation (319[h]) Programs

# Sources of Information

- **Consolidated Grants Program Webpage**

<http://www.waterboards.ca.gov/funding/consolidgrants0506.html>

- **FAAST User Manual**

<https://faast.waterboards.ca.gov/help/FAASTUserManual.htm>

- **Guidelines**

[http://www.waterboards.ca.gov/funding/cg\\_guidelines.html](http://www.waterboards.ca.gov/funding/cg_guidelines.html)

- **Electronic Mailing List**

Select “Consolidated Grants 2005-06” on the mailing list subscription form, located at:

[http://www.waterboards.ca.gov/lyrisforms/swrcb\\_subscribe.html](http://www.waterboards.ca.gov/lyrisforms/swrcb_subscribe.html)

# Contact Information

- E-mail questions or comments to:

[DFA\\_Grants@waterboards.ca.gov](mailto:DFA_Grants@waterboards.ca.gov)

- Project-specific questions should be directed to appropriate staff listed on Full Proposal Solicitation Notice

# Questions and Answers

- **Questions on General Information**
- **Proposal-specific Questions at Breakout Tables:**
  - **Project Assessment and Evaluation Plan (PAEP)**
  - **Grant Agreements/Scope of Work**
  - **State Water Board – General**
  - **Regional Water Board**